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JC976 U.S. PTO

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PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0046  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 030639.0027.UTL  
First Inventor Kolterman, et al  
Title Use of exendins and agonists thereof  
for Modulation of Triglyceride level  
Express Mail Label No. EL 675945102US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages 155]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
- Oath or Declaration [Total Pages ]
  - ☐ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: 60, 175, 365

Prior application information: Examiner Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label (Insert Customer Number or Bar Code Label here) or ☐ Correspondence address below

|         |                         |          |  |  |
|---------|-------------------------|----------|--|--|
| Name    | 23865                   |          |  |  |
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| Country | Telephone               | Fax      |  |  |

|                   |                     |                                   |        |
|-------------------|---------------------|-----------------------------------|--------|
| Name (Print/Type) | Richard H. Pagliery | Registration No. (Attorney/Agent) | 44,276 |
| Signature         | Richard H. Pagliery | Date                              | 1/9/01 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

- ☐ If a paper is untimely filed in the above-referenced application by applicant or his/her representative, the Commissioner is hereby petitioned under 37 C.F.R. § 1.136(a) for the minimum extension of time required to make said paper timely. In the event a petition for extension of time is made under the provisions of this paragraph, the Commissioner is hereby requested to charge any fee required under 37 C.F.R. § 1.17(a)-(d) to **Deposit Account No. 50-1273**. However, the Commissioner is **NOT** authorized to charge the cost of the issue fee to the Deposit Account.

The filing fee has been calculated as follows:

| FOR   | NUMBER FILED | NUMBER EXTRA | RATE       | CALCULATIONS |
|---|--------------|--------------|------------|--------------|
| TOTAL CLAIMS  | 176 - 20 =   | 156          | x \$18.00  | \$2,808.00   |
| INDEPENDENT CLAIMS  | 4 - 3 =      | 1            | x \$80.00  | \$80.00      |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |              |              | + \$270.00 | \$270.00     |
|   |              |              | BASIC FEE  | \$710.00     |
| TOTAL OF ABOVE CALCULATIONS =   |              |              |            | \$3868.00    |
| Reduction by 1/2 for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28).<br>If applicable, verified statement must be attached. |              |              |            | \$0.00       |
| Assignment Recording Fee \$40 (if enclosed)   |              |              |            | \$0.00       |
|   |              |              | TOTAL =    | \$3,868.00   |

- ☐ No filing fee is being paid at this time. Please apply any other required fees, EXCEPT FOR THE FILING FEE, to Deposit Account No. **50-1273**.
- ☐ A check in the amount of \$0.00 is attached.
- ☐ Charge \$\_\_\_\_\_ to **Deposit Account No.**\_\_\_\_\_ referencing docket no. \_\_\_\_\_.
- ☐ Applicant(s) hereby petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees or to credit any overpayment to **Deposit Account No. 50-1273** referencing docket no. **030639.0027.UTL**. A duplicate copy of this transmittal is enclosed, for that purpose.

Dated: January 9, 2001

Respectfully submitted,

By: Richard H. Pagliery  
Richard H. Pagliery  
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